### 10/500885 IAP9 Rec'd PCT/PTO 25 AUG 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Robert Dicke

Appln. No.: Unknown Examiner: Unknown

Filed: Herewith Art Unit:

For: THREAD FORMING SCREW

Attorney Docket No: 11256-008

#### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
EP 0 394 719 B1	9/16/92	Robert Dicke Ing.
EP 0 893 611 A1	1/27/99	Louis N. Giannuzzi
DE 84 09 108 U	7/19/84	Gewindeformende Schraube
DE 33 35 092 C2	8/8/85	Erwin Rommel

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Pursuant to 37 C.F.R. § 1.98(a)(2), an English language abstract of the foreign language reference is attached, as is a copy of the foreign search report in which the reference was listed.

Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated

Appln. No. Unknown

## 10/590885 Horney Docket No. 11256-008 IAP9 Repd PCT/PTO 25 AUG 2006

with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

August 25, 2006

Date

Stéven L. Oberholtzer, Esq. (Reg.

No.30,670)

# **10/5** 90 8 8 5 IAP9 Rec'd PCT/PTO 2 5 AUG 2006

FORM PTO-1449	APPLICATION NO.	ATTORNEY DOCKET NO.
	Unknown	11256-008
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	Herewith	Unknown
STATEMENT		
(use several sheets if necessary)	FIRST NAMED INVENTOR: Robert Dicke  EXAMINER NAME: Unknown	

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where, Relevant Passages or Relevant Figures Appear
	A1				
	A2				
	A3				
	A4				

### **FOREIGN PATENT DOCUMENTS**

TORLIGN FATENT DOCUMENTS						
EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number - Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where, Relevant Passages or Relevant Figures Appear	т
	A5	EP 0 394 719 B1	9/16/92	Robert Dicke Ing.		
	A6	EP 0893 611 A1	1/27/99	Louis N. Giannuzzi		
	A7	DE 84 09 108 U	7/19/84	Gewindeformende Schraube		
	A8	DE 33 35 092 C2	8/8/85	Erwin Rommel		
	A9					
	A10					
	A11					
. ,	A12					
	A13					
	A14					
	A15		I			
	A16					

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.		
	A17	,	
	A18		
	A19		
	A20		
	A21		

NOTE: For "T" - please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.